

Application Terms and Conditions for Foundation Nakao Grants

These 'Application Terms and Conditions' apply to all grants offered by Foundation Nakao for Worldwide Oral Health (hereinafter "Foundation Nakao" or "the Foundation"). They may be modified from time to time. Please check the current version <u>before</u> applying for one of the grants on <u>www.foundation-nakao.com</u>

I. Who we are

In September 2018, Foundation Nakao for Worldwide Oral Health was established in Luzern, Switzerland. Mr. Nakao, owner and Chairman of GC Holding AG has donated his privately-owned stocks of GC Corporation in order to provide funds with which the Foundation will operate. The Foundation's aim is to contribute to the realization of a truly healthy long-living society and a high quality of life by supporting research and analysis to improve global oral health.

II. What we fund

In order to reach the aforementioned goals, Foundation Nakao's purpose is to support valuable basic research, clinical research and educational program in oral health contributing to a healthy and long-living society and to a high quality of life. Therefore, the Foundation promotes academic research and clinical study and intends to share the outcomes and achievements of the granted study project with dental professionals and industry as well as global citizens.

Your project should fall into one of the following topics:

1. Minimum Intervention Dentistry

At the IADR General Session & Exhibition in Nice, France in 2000, GC proposed the concept of MI (Minimum Intervention) with three key approaches: Identification, Prevention and Control. Since then, GC has been continuously promoting this concept.

We would like to contribute to projects seeking for preserving natural teeth and oral functions and we believe in the concept of Minimum Intervention, so please send us your research ideas in this area.



2. Oral Health for Ageing Population

GC Corporation is headquartered in Japan. Japan is the fastest aging country in the world with the so called "triple crown": the ratio of the elderly population aged 65 or more, the aging rate and the life expectancy. Setting the preservation of twenty or more teeth for all individuals until the age of 80 as a goal, the Japan Dental Association has been promoting the '8020 Campaign' as a core of the Healthy Japan 21 (the National Health Promotion Campaign for the 21st century). The achievements of the '8020 Campaign' have been highly evaluated by medical professionals.

More than 50% of people aged 80 in Japan maintain more than 20 of their own teeth and enjoy eating. Clear evidence shows that this high retention of own teeth backed by '8020 Campaign' supports both a healthy life and a high quality of life of Japanese people.

Foundation Nakao would like to support the '8020 Campaign', so please send us your ideas for a proper contribution.

3. Oral Functions

In the past, dental medicine has frequently given priority to tooth shape and esthetics. However, nowadays, dental medicine has been shifting its focus to functionality, considering that "Oral health is a crucial function supporting people's life".

Please send us your research proposal related to oral functions.

4. Oral Frailty

A new concept of 'Oral Frailty' has been proposed, and concrete measures and academic evidence are needed. There is limited evidence in this regard yet and Foundation Nakao believes that there is a high potential with respect to its general goals.

Foundation Nakao aims to promote this research area; thus, we are looking forward to receiving your concept in this regard.

5. Oral Health Literacy

Oral health literacy is known to be associated with differences in oral health behaviors

and status. Populations with low oral health literacy are usually considered at higher risk for oral diseases and related health issues.

Foundation Nakao would like to support projects aiming at improving people's oral health literacy.



Please note that Foundation Nakao has set its goals and concept which is why we are bound to the aforementioned topics and unfortunately cannot accept a project in any other area.

III. Who we fund (Eligibility criteria)

Foundation Nakao aims to support projects contributing to its defined goals and submitted by various categories of applicants which we kindly ask you to specify in the application form as follows:

- Government Organization
- Non-Governmental Organization
- University
- Research Institution
- Other (please specify)

Projects submitted must be approved officially by the Ethic Committee (if any) of the Organization that applicant belongs to. Also, we would like to know who has contributed to your project, so please mention everybody who has been supporting you with your project.

IV. The Grant

Each year, Foundation Nakao will provide a financial support for up to six applications by means of an amount of up to 50,000 CHF each. The final disbursed amount depends on the amount requested in the application but is limited to 50,000 CHF/year.

The duration of your project may take several years. If a project proposal is sufficiently promising aligning with the Foundation's goals, additional funding up to 50,000 CHF for a second year will be considered, based on the evaluation interim progress reports and available resources. However, please note that the total funding amount over a period of two years is limited to 100,000 CHF (up to 50,000 CHF each year).

The payment of the funding amount will be transferred in three instalments:

The first remittance (50% of the proposed budget of the Research Project) will be made once we have signed the "Research Grant Agreement" and we have received the confirmation of approval for your project by your Ethic Committee.

The second remittance (20% of the proposed budget of the Research Project) will be made after you have handed in your interim report and the interim report has been approved by Foundation Nakao.



The third remittance (30% of the proposed budget of the Research Project) will be made after you have handed in your final report and the final report has been approved by Foundation Nakao.

V. Application Process, Application Form, Deadline

In order to apply, please complete the <u>application form</u> and add a <u>Project Description</u> including:

- 1. Summary/Abstract
- 2. Background
- 3. Hypothesis and specific aim
- 4. Protocol, Methods
- 5. Significance
- 6. Key personnel including one-pager BioSketch
- 7. Budget (direct cost: salary, equipment, supplies) (total amount; specified currency) plus evidence
- 8. Description of milestones (project timeline incl. interim report schedule and total time period)
- 9. Other financial contributions/Sponsors?
- 10. Have you applied for Foundation Nakao Grant in the past? If so, please note the year of application.
- 11. Journal and anticipated publication time
- 12. References
- 13. Period of Research Project please note that any submitted Research Project which is supposed to run for more than 2 years will not be accepted by Foundation Nakao, this the Research Project must not be longer than 2 years

Submitted only in 2 files (1st 'Application form' + 2nd 'Project Description') with specifications:

- Document type : 'Word' / 'Excel' plus respective 'PDF'

Font type: ArialFont size: 12 pointSpacing: single

- Margins: normal or moderate

- Paper size: A4

- Number of pages: maximum 11 (for the 2nd file 'Project Description')

When preparing the budget/budget justification, please be aware that the funds cannot be used to support indirect (overhead) costs. Funds can only be used to support direct costs (salary, equipment, supplies) and the budget must fully be justified in written narrative.



Please note that <u>only complete applications are considered for review.</u> In addition, applications <u>that do not adhere</u> to these 'Application Terms and Conditions' <u>will be rejected.</u>

During the open application acceptance period, the completed application must be submitted to <u>info@foundation-nakao.com</u>. The application period for Foundation Nakao Grants is being published on Foundation Nakao's website until August 31st of any given year while the period usually runs from end of September until beginning of December. Please be aware that <u>we will not consider</u> any application submitted <u>after the application deadline</u>.

VI. Selection Process and Research Project Process, Publications

Your application will be considered for the selection process in the year that the application has been submitted. If you have not been selected, you are free to submit a new application in the following year. The study project of your application may then be the same or slightly adjusted or even different (within the five aforementioned research areas).

After all the applications have been submitted, they will be reviewed by the Foundation Board and the Management Board of Foundation Nakao. The founding members of the Foundation represent the Foundation Board; inter alia, they appoint the members of the Management Board which again take over the management of the Foundation. The Management Board of Foundation Nakao consists of a team of distinguished dental professionals from four continents - Europe, America, Australia and Asia. The whole review process will take about three months.

In particular, the Foundation Board and the Management Board will review the applications based on a scheme of certain criteria while each criterion's fulfilment is being scored.

However, the decision about providing a grant is in the Foundation's sole discretion. The applicants shall have no right to claim the grant and the Foundation is not obliged to provide the applicant with detailed reasons for accepting or rejecting an application. The selection is made taking into account the Foundation's goals and the aforementioned selection process.

After the grant recipient(s) has/have been successfully selected, the recipient(s) will be informed by email. After having informed the selected grant recipient(s), the remaining applicants will be informed as well. By submitting your application, you acknowledge and agree that Foundation Nakao will publish your name as grant recipient after you have been selected, the Grant Agreement has been signed and the Ethic Committee has approved the project.

The interim report needs to be submitted as agreed after selection. The final report needs to be submitted no longer than 12 months after completion of the project for review and approval by the Foundation Board and the Management Board.



Publications:

Since the Foundation and Management Boards evaluate the quality of the results, you agree that you will publish your study results only after the Foundation and Management Boards have given their consent.

All presentations and publications (as well as posters and/or abstracts at scientific meetings) that result from the support provided by the funding should acknowledge such support in writing.

The following citation is suggested; "Funding for this project [research title] has been provided through a grant from Foundation Nakao for Worldwide Oral Health."

The funding will be made to grantee as defined in the above Clause IV. The (principle) investigator(s) is/are responsible for the scientific conduct of the research and the grantee is responsible for financial and administrative matters. The grantee is responsible for the administration of the funds in accordance with acceptable policies and procedures for sponsored project accounting and in accordance with the terms and conditions of the funding.

VII. Liability

The applicants are solely liable for the compliance with the laws and any other regulations applicable for scientific research projects and any other category of projects (for example any applicable guidelines for animal or human being research). This is valid for the application process as well as the duration of the research project.

VIII. Data Processing

With submitting your application via email, you give your consent that Foundation Nakao, respectively all people included in the selection process will process your (personal) data. Your personal data and study documents will be stored electronically for the duration of the review process.

After the funding recipient(s) has/have been selected and in case you have not been selected, your personal data and the documents that you submitted will be deleted. In case you have been selected, your personal data and your submitted documents will be stored at least for the duration of the project. There will be a separate data privacy notice for this case after the funding recipients have been selected.



IX. Miscellaneous

- 1. The applicable law for any disputes regarding to the grants is Swiss Law. The court of competent jurisdiction is the Canton Court of Lucerne.
- 2. In the event that any provision of these 'Application Terms and Conditions' is or becomes wholly or partially invalid or unenforceable, or in the event that they contain unintentional loopholes, this shall not affect the validity of the remaining provisions of them.